



Financial Assistance Award

DENALI COMMISSION
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Project Number

Project No. 0100-DC-2003-I13

Project TitleAlaska Rural Primary Care Facility
Planning, Design, and Construction –
FY2003**Performance Period**

July 1, 2003 to June 30, 2007

Recipient Name & AddressAlaska Native Tribal Health Consortium
1901 South Bragraw Street, Suite 200
Anchorage, AK 99508-3440**Contact info:**Mr. Roger Marcil, ANTHC Project Officer
Mr. Paul Sherry, ANTHC Chief Executive Officer
Phone: (907) 729-3600
Fax: (907) 271-4734**Authority**
112 Stat 1854**CFDA Number**
90.100**Denali Commission Finance
Officer Certification**

CEE

Cost Share Distribution Table

Accounting Code	Denali Commission	Other Contributors	Total
95670000	8,559,661.00	0.00	8,559,661.00
			0.00
			0.00
			0.00
Total	8,559,661.00	0.00	8,559,661.00

This Financial Assistance Award approved by the Federal Co-Chair of the Denali Commission is issued in triplicate and constitutes an obligation of federal funding. By signing the three documents, the Recipient agrees to comply with the Award provisions indicated below and attached. Upon acceptance by the Recipient, two signed Award documents shall be returned to the Federal Co-Chair of the Denali Commission and the Recipient shall retain the third document. If not signed and returned without modification by the Recipient within 30 days of receipt, the Federal Co-Chair may unilaterally terminate this Award.

- ☒ Special Award Conditions and Attachments
☐ Line Item Budget
☒ OMB Circular A-133, States, Local Governments, and Non-Profit Organizations
(www.whitehouse.gov/OMB/circulars/a133/a133.html)

Administrative Requirements (check one)

- ☐ 15 CFR 24, Uniform Admin Requirements for Grants/Cooperative Agreements to State and Local Governments
(www.access.gpo.gov/nara/cfr/waisidx_99/15cfr24_99.html)
☒ 15 CFR, Part 14, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, Other Nonprofit, and Commercial Organizations
(www.access.gpo.gov/nara/cfr/waisidx_99/15cfr14_99.html)

Cost Principles (check one)

- ☐ OMB Circular A-87, Cost Principles for State and Local Governments and Indian Tribal Governments
(www.whitehouse.gov/OMB/circulars/a087/a087-all.html)
☒ OMB Circular A-122, Cost Principles for Nonprofit Organizations
(www.whitehouse.gov/OMB/circulars/a122/a122.html)
☐ OMB Circular A-21, Cost Principles for Educational Institutions
(www.whitehouse.gov/OMB/circulars/a021/a021.html)
☐ 48 CFR 31.2, Contracts with Commercial Organizations

Signature of Authorized Official - Denali Commission**Typed Name and Title**

Jeffrey B. Staser, Federal Co-Chair

Date

7-16-2003

Signature of Authorized Official - ANTHC**Typed Name and Title**

Paul Sherry, Chief Executive Officer

Date

7/28/03

**Financial Assistance Award Conditions
Between the Denali Commission and
The Alaska Native Tribal Health Consortium (ANTHC)
For Alaska Rural Primary Care Facility Planning, Design, and Construction – FY2003
July 2003
Project No. 0100-DC-2003-I13**

1. Scope of Work

The scope of work for this Financial Assistance Award (Award) is the planning, design and construction of rural primary care facilities.

This Award initially funds the Denali Commission Rural Clinic program for \$8,559,661 for projects and core program services identified in the attached “Table A – Project and Project Management Funding.

The scope of work for this Award provides for management of the core clinic program managed by ANTHC on behalf of the Commission, as its “post-award” partner. The core program support may be provided by hiring additional staff with duties specific to the clinic construction program, or allocation of management/workload responsibilities within the existing staff or contracts to support this additional program at ANTHC. Within Table A, the management method is based on information supplied in the sub-award recipient’s proposal. The management option will be formally designated in the recipient’s sub-award document.

Table A reflects the ANTHC clinic program expenses. This includes core program management, travel and per diem, and Alaska Primary Care Database System (APCDS) ongoing database support.

All Commission funding is intended for use for the scope of work identified in the Award document only. In the event there is a balance of funding after the full scope of work is completed, then the Commission (in consultation with ANTHC) will determine how the excess funds will be allocated. The final decision on how excess funds are used is a Commission decision, and may include withdrawing excess funds for reallocation to other Commission projects. ANTHC will return any unexpended project funds (based upon pro rata project contributions) to the Commission at the end of the one-year project warranty period.

2. Award Performance Period

The Award performance period is July 1, 2003 through June 30, 2007. This is the period during which Award recipients can incur obligations or costs against this Award.

3. Direct and Indirect Costs

The cost principles of OMB A-122 (Cost Principles for Nonprofit Organizations) are applicable to this Award. Please refer to the cost principles regulations for specific details on other allowable charges under this Award.

4. Budget and Program Revisions

The Administrative Circular 15CFR Part 14 applies to this Award. Please refer to the Administrative Circular for specific details on revisions to this Award. The Administrative Circular requires that ANTHC will inform the Commission in writing (e-mail, letter, or report) at the earliest possible date of any unanticipated project cost overrun, project schedule delays, or changes in the project scope or changed site conditions. The parties acknowledge that a fundamental ANTHC responsibility for “pass through” projects is to suspend project funding to sub-award recipients that are unreasonably exceeding the project budget or failing to make reasonable progress. ANTHC is to work with the sub-award recipients to develop an action plan to resolve project performance problems.

5. Reporting

Five forms of project reporting are required under this Award, listed below. The first quarterly reporting period is July 1 to September 30, 2003. Unless otherwise indicated, reports are due within 30 days of the end of the reporting period.

ANTHC shall provide the progress reports in electronic format using the Commissions web based project reporting system.

- a. Quarterly project reporting shall include both a narrative and financial summary. The narrative summary shall include a summary of the project status and accomplishments to date, and address the following questions: is the project on schedule, is the project on budget, and what actions are planned to address any project problems. The project financial status report shall show the following:
 - i. The total project budget
 - ii. The project schedule with milestone dates for design and construction
 - iii. The total amount of Denali Commission funds committed to the project
 - iv. The total project expenditures as of the end of the most recent quarter
 - v. The total expenditure of Denali Commission funds for the project as of the end of the most recent quarter
 - vi. The percentage of expenditures to the total budget; and
 - vii. A project performance analysis on project line items, as defined by ANTHC, showing budget costs compared to actual expenditures and obligation to date versus work performed to date (for each line item).

- b. A final Financial Status Report (Standard Form 269 – www.whitehouse.gov/OMB/grants/index.html#forms) shall be submitted to the Commission Project Officer within 90 days after the end of the Award Performance Period. If the Award Performance Period is longer than one year, or if the Agreement is revised to extend the Award Performance Period beyond one year, the recipient must submit a completed Standard Form 269 annually within 90 days after the end of each anniversary of the award date.
- c. A Labor Type, Residence, and Wage Report shall be submitted to the Commission project officer at a minimum annually. At a minimum, this report must be submitted on or near December 1st each year. A Labor Type, Residence, and Wage Report must include the following information by construction project for any person employed on the construction of that project: position, place of primary residence, first check date, last check date, rate of pay per hour, payroll earnings from inception of project to date. Personal or confidential information such as Social Security numbers, names of individuals, or other information that would identify an individual should not be included in this report.
- d. Photographic documentation of project progress shall be provided with the quarterly reports for active construction projects. The photo documentation shall include a minimum of five, dated photos per quarter such that a complete record of the construction is maintained over time, from “before,” showing the situation before the start of construction, to “during” showing work proceeding on the project, and “after” to show the finished project. For minor repair and O&M projects, pictures should be provided of before and after to the extent possible. Photos may be provided as photo quality, 3x5 prints with negative for each picture, and/or print quality electronic photos (digital images). A short description of the activity and names of those in the photos shall also be provided.
- e. ANTHC shall report annually the percentage of total funds (from the Commission and other sources) received used for planning, design and construction of infrastructure facilities, and/or economic development projects. In addition, this annual report will include a breakdown on how these funds were used: force account construction (including local labor wages paid, rural Alaska wages paid, and total project wages paid), and construction contracting. The 4th quarter report for the year can be used as both a quarter and annual summary report (providing both quarterly and annual financial data).

6. *Payments*

Payments under this Award will be made through the U.S. Department of Treasury’s Automated Standard Application for Payment (ASAP) system. The ASAP system is the Commission’s mechanism for requesting and delivering Federal funds to Award

recipients. Your organization must be registered with the ASAP program in order to make draw downs. Please contact the Commission's Project Manager or Finance Manager for further information about registering with the ASAP program. Payments will be made in accordance with Administrative Circular 15 CFR Part 14. **No interest will be accrued on these funds.**

7. Award Close Out

- a. The Award closeout must be completed within 90 days of the end of the Award performance period or within 90 days of the completion of the project, whichever is earlier. The project closeout process out includes the submission of both a final narrative report and financial status report. Recipient organizations must also request any remaining funds for expenditures under this award during this 90-day period. Please refer to the Commission guidance for project closeout for additional details on the requirements. This is available by contacting the Commission office or the Project Manager.
- b. Acknowledgement of support: For all construction projects, the Award recipient shall include an acknowledgement of the Government's support for the project(s) developed under this Award. The Award recipient shall display a sign that:
 - i. Has the Denali Commission logo displayed on the upper right-hand quadrant;
 - ii. States the following: "This project was financed by the Denali Commission and its partners (*list the name of the funding partners*)";
 - iii. Shows the logo of each partner in the lower right-hand quadrant.

The cost of this sign shall be paid out of the project funding received by the Award recipient from the Denali Commission. Final approval of signage material and placement of sign must be obtained from the Commission Project Manager.

- c. Acknowledgement of support: For all non-construction projects, the Award recipient shall include an acknowledgement of the Government's support for the project(s) developed under this Award. Acknowledgement shall include:
 - i. The Denali Commission logo and the logo of each partner;
 - ii. The following statement: "This project was financed by the Denali Commission and its partners (*list the name of the funding partners*)".

The format for acknowledgement of the Government's support for non-construction awards will vary with each award and must be agreed upon between the Award recipient and the Denali Commission Project Manager. Costs associated with this requirement shall be paid out of the project funding received by the Award recipient from the Denali Commission.

8. Public Policy Laws and Assurances

Award Recipients are required to comply with the public policy laws and assurances on Standard Forms SF 424b (non-construction projects) or SF 424d (construction projects). This form must also be signed by a certifying official of the organization. Some of the laws are highlighted below for your reference.

To the maximum extent practicable, considering applicable laws, Funding Recipients shall accomplish the project contemplated by the Award using local Alaska firms and labor.

No portion of this award may be used for lobbying or propaganda purposes as prohibited by 18 U.S.C. Section 1913 or Section 607(a) of Public Law 96-74.

Project level environmental reviews in accordance with the National Environmental Policy Act (NEPA) and the National Historic Preservation Act (NHPA) are required for each project undertaken with Denali Commission funds.

9. Project Officers & Contact Information

Denali Commission	ANTHC
Joel Neimeyer, P.E. Denali Commission 510 "L" Street, Suite 410 Anchorage, AK 99501 907-271-1459 907-271-1415 Fax jneimeyer@denali.gov	Roger Marcil Alaska Native Tribal Health Consortium 1901 South Bragraw Street, Suite 200 Anchorage, AK 99508-3440 907-729-3600 907-271-4734 rmarcil@anthc.org

Table A - Project and Project Management Funding - FY2003 ANTHC Clinic Award - Project No. 0100-DC-2003-113

ANTHC Management %	Community/Organization/Description	Commission Approved Project Scope	Commission Approved Funding	Management Method	ANTHC Project Management Expenses	Denali Commission Project Funding Total (this Agreement)
2.80%	Grayling Dental and Behavioral Health Additional space	Construction Addition	449,945.00	3	\$12,598.46	\$462,543.46
2.80%	Newtok Dental and Behavioral Health Additional space	Construction Addition	261,200.00	3	\$7,313.60	\$268,513.60
2.80% (but capped at \$33,500)	Toksook Bay Subregional - Construction completion	Construction	1,720,100.00	3	\$5,500.00	\$1,725,600.00
2.80%	Mekoryuk - Construction (including Dental and Behavioral Health additional space)	Construction	757,774.00	3	\$21,217.67	\$778,991.67
2.80%	Scammon Bay Dental and Behavioral Health Additional space	Construction Addition	225,810.00	3	\$6,322.68	\$232,132.68
16.50%	St Michael Clinic - Interior Rough-in and Exterior Completion	Construction	257,500.00	1	\$42,487.50	\$299,987.50
2.80%	Stebbins - Construction Cost "correction" for area adjustment factor increase to 1.74 from 1.28	Construction	305,486.00	3	\$8,553.61	\$314,039.61
2.80%	Golovin	Construction	1,113,652.00	3	\$31,182.26	\$1,144,834.26
2.80%	White Mountain	Construction	1,113,652.00	3	\$31,182.26	\$1,144,834.26
2.80%	Koyuk	Construction	1,113,652.00	3	\$31,182.26	\$1,144,834.26
2.80%	Shishmaref Clinic Repair and Renovation	Renovation	100,000.00	3	\$2,800.00	\$102,800.00
2.80%	St. Paul Existing Clinic renovation	Renovation	100,000.00	3	\$2,800.00	\$102,800.00
16.50%	Fire Suppression retrofit for previously completed new clinics	Renovation	150,000.00	1	\$24,750.00	\$174,750.00
11.00%	Beaver Clinic Completion	Construction	350,000.00	2	\$38,500.00	\$388,500.00
	"Small" clinic and "Repair/Renovation" Program Manager - FY03	1 FTE for 1 year	\$180,500			\$180,500
	Administrative Support Service Technician - FY03	1/2 FTE for 1 year	\$30,000			\$30,000
	Travel and Per diem - FY03	Travel for the "Small" clinic manager to be paid for by the Commission	\$24,000			\$24,000

Table A - Project and Project Management Funding - FY2003 ANTHC Clinic Award - Project No. 0100-DC-2003-I13

ANTHC Management %	Community/Organization/Description	Commission Approved Project Scope	Commission Approved Funding	Management Method	ANTHC Project Management Expenses	Denali Commission Project Funding Total (this Agreement)
	On-going Database Support	Support for Import of Code and conditions and digital images groups 3&4, develop APCDS update strategy, technical staff participation in APCDS workgroup, Application support, database queries and extractions, license fees come February 2004 to Geo-North.	\$40,000			\$40,000
		Total	\$8,293,271		\$266,390	\$8,559,661

Note: Management method is based on Table B of Amendment No. 1 to the MOU and as defined in the sub-recipient applications.